

The Proposed US Missile Defense in Europe: Technological Issues Relevant to Policy

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Major Questions that Need to Be Addressed

Major Issues

- What Are the Benefits Versus the Costs Associated with the Current Plan to Deploy a Missile Defense in Europe?
- Could This Deployment Cause an Avoidable Major Policy Confrontation with Russia at a Time When Russian-US Cooperation is Critical?
- Will the System Provide the Promised Performance Benefits?
- Are Their Alternative System Configurations that Could "Do the Job" that would Not Be Perceived as a Threat by the Russians?

August 27, 2970 Priefinary of the Technological Issues Relevant to Policy (1 of 2)

- Aegis system interceptors are kinematically able to provide intercept coverage for a missile defense of Europe.
- There are as yet unresolved questions about whether the Aegis interceptor Kill Vehicle has adequate acquisition and divert capabilities to reliably find and maneuver to hit Intermediate Range Ballistic Missile (IRBM) warheads.
- However, the Missile Defense Agency has made statements that the Aegis can do the job.
- Two-Stage Ground-Based Interceptors sited in Poland are kinematically able to provide intercept coverage for most, but not all, of Europe.
- The Two-Stage Ground-Based Interceptors are also capable of intercepting Russian ICBMs launched towards targets on the East Coast of the United States.
- Missile Defense Agency claims that such intercepts are not possible are inaccurate.
- There are still many unresolved engineering and technical problems associated with both the two-stage and three-stage Ground-Based Interceptors.
- It is not clear that the unresolved performance uncertainties associated with the Ground-Based Interceptor are less than those that confront Aegis.
- Thus, from the perspective of performance uncertainties, Aegis interceptors appear to be as viable a choice for policy makers as Ground-Based Interceptors.

Summary of the Technological Issues Relevant to Policy (2 of 2)

- The planned radar support for the European missile defense is woefully inadequate.

 X-band radars are fundamentally not suited for the role of acquisition and surveillance. Lower frequency radars operating at VHF, UHF, or L-Band are all far more suitable for this mission.
- The radar acquisition and surveillance problem could probably be solved by using multiple Forward-Based X-Band radars placed strategically between Iran and Europe.
 - These radars would probably only be able to acquire and track cone-shaped ballistic missile warheads at ranges less than 1000 km range. They would, however, be able to track the upper rocket stage that deploys the warhead at greater range. This may make it possible for the radar to cue on upper rocket stages as part of a process aimed at acquiring and tracking the warhead.
- The radar acquisition and surveillance problem could also be solved by using the Russian Voronezh Class VHF Early Warning Radar in Armavir, Russia.
- Even if the funding for the Missile Defense Program were expanded to a substantial part of the entire Department of Defense budget, the resulting missile defense system would still be fundamentally unreliable, unless it can be demonstrated that the system can tell the differences between simple decoys and warheads.
- There is overwhelming evidence that exoatmospheric Missile Defenses are fundamentally vulnerable to exoatmospheric decoys. This near-certain vulnerability has far ranging implications for the viability of exoatmospheric missile defenses and the nation's security. Congress should consider investigating this serious and fundamental vulnerability.



Proposed Elements Of A European Missile Defense

- Up to 10 silo-based long-range interceptors located in Eastern Europe (2011-2013)
- Re-location of a narrow-beam, midcourse tracking radar currently used in our Pacific test range to central Europe (2011)
- Field an acquisition radar focused on the Iranian threat from a forward position to provide detection, cueing, and tracking information (2010-2011)









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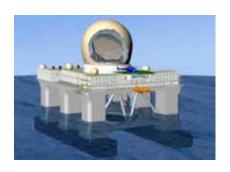
Locations of Physical Assets Relevant to an Assessment of the Policy Issues





Phased-Array X-Band Radars

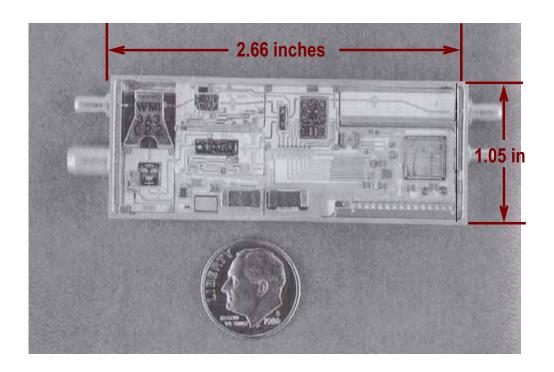




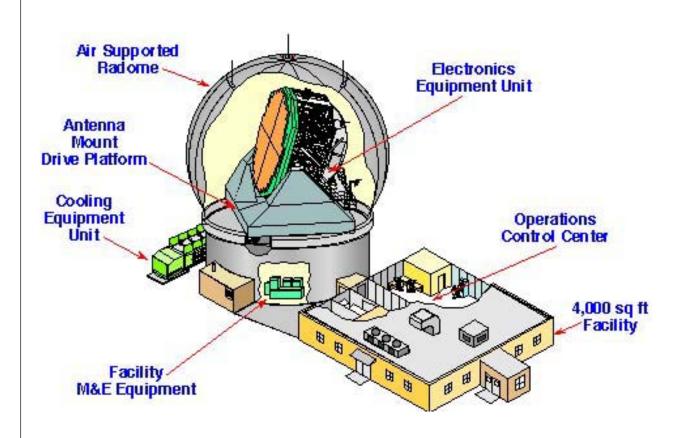




X-Band Module



National Missile Defense Ground-Based Radar Prototype (NMD-GBR-P) X-Band Radar to be Used in National Missile Defense System



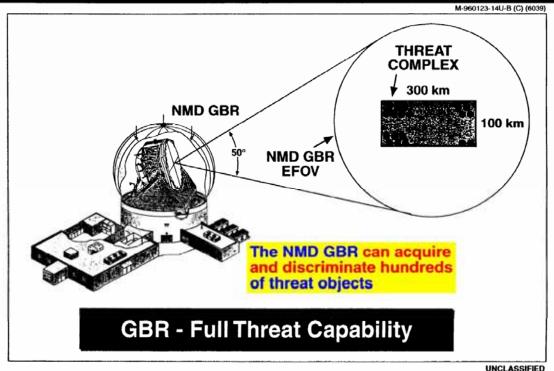
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UNCLASSIFIED

NMD GBR (U)





Presidential National Security Directive 23 (PNSD-23)

Presidential National Security Directive 23 (PNSD-23)

Signed by President Bush on December 6, 2002.

- •PNSD-23 reaffirmed the policy of the Bush administration "to develop and deploy, at the earliest possible date, ballistic missile defenses drawing on the <u>best</u> technologies available."
- •The Directive also states that the United States would begin to deploy missile defenses in 2004 "as a starting point for fielding <u>improved</u> and <u>expanded</u> missile defenses later [emphasis added]."
- •And that the ultimate goal was missile defenses "not only capable of protecting the United States and our deployed forces, but also friends and allies."
- •PNSD-23 was preceded in January 2002 by a memorandum from then Secretary of Defense Donald Rumsfeld. The Rumsfeld memo directs the Missile Defense Agency to develop defense systems by first using whatever technology is "available," even if the capabilities produced are limited relative to what the defense must ultimately be able to do.

Observation

PNSD-23 Appears to be a Mandate for <u>Continued</u> and <u>Unbounded</u> Expansion and Modernization of the Missile Defense System in Europe and Elsewhere.

If this is True, PNSD-23 Would Indicate to the Russians that the Current Defense Deployment in Europe is only the <u>Leading Edge</u> of a Much Larger and More Capable Future Deployment.

X-Band Radars

The new X-band radars can be divided into two groups:

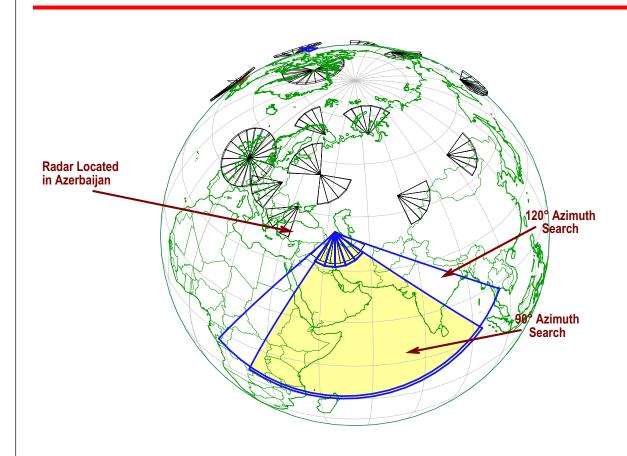
- THAAD/FBX (which are the same): transportable, multipurpose (surveillance, tracking) radars
- SBX, GBR-P,GBR, EMR. Large, specialized tracking radars.
 These all have highly "thinned" antennas. Their antennas all can be rotated.

X-Band Modules per Radar

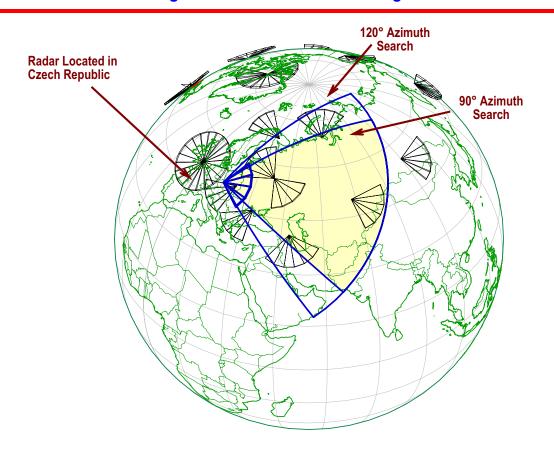
GBR-P: 16,896
THAAD/FBX: 25,344
SBX: 45,264
GBR: 69,632
EMR (Czech): ~ 22,000 ???

- Current rate of deployment suggests about enough modules are being made each year to deploy one THAAD/FBX.
- If so, this may explain why EMR won't be available until 2011.
- Modules are expensive, ~\$1,000+ each.

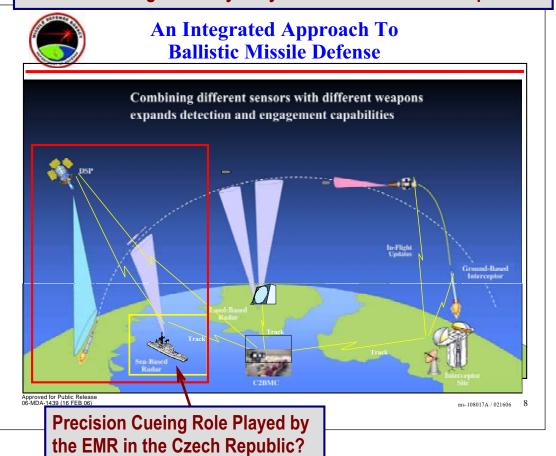
Search Coverage of the X-Band Radar Using Electronic Scanning



Search Coverage of the X-Band Radar Using Electronic Scanning



Precision Cueing Role Played by the EMR in the Czech Republic?



"With cuing from an Aegis ship and three ships with the Block 1A capability, we can in fact defend our ally Japan and the U.S. forces there. Additionally, if we station a ship off the Hawaiian Islands with a ship forward, we can in fact defend Hawaii. Likewise, we can defend Guam by moving the detection ship forward. We have run many of these scenarios."

Rear Admiral Brad A. Hicks
Program Director,
Aegis Ballistic Missile Defense
December 19, 2005 in a talk at the Marshall Institute

Full talk is available at: http://www.marshall.org/article.php?id=363

Why Cueing from the European Midcourse Radar (EMR) Could be of Concern to Russian Military Analysts

Reported by several publications:

On August 19, 2004, Army Col. Charles Dreissnack, THAAD's program manager, said at a conference that recent tests of the THAAD's radar have shown that THAAD will have a "residual" capability against ICBMs.

He said: "We weren't planning to have the ICBM capability," but the radar is "outperforming what we thought it supposed to do."

He also said that although deployment won't begin until FY 2009, test assets could be ready to defend Hawaii years earlier.

From

Marc Selinger, "THAAD displaying 'residual' capability against ICBMs," *Aerospace Daily & Defense Report*, August 20, 2004.

Note: This description implies that THAAD's NMD capabilities are limited by the radar, not the interceptor. See "Highly Capable Theater Missile Defenses and the ABM Treaty" in Arms Control Today, April 1994. Available on the Web at:

http://www.ucsusa.org/global_security/missile_defense/theater-missile-defense-the-abm-treaty.html

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Interceptors are Modified Ground-Based Interceptors

2 Stage Instead of 3 Stage 30,450 lbs versus 31,500 lbs 47 Feet Long versus 51 Feet



The interceptors planned for Poland are nearly identical to the three-stage interceptors based in the U.S. except that they are a two-stage variant that is quicker, lighter, and better suited for the engagement ranges and



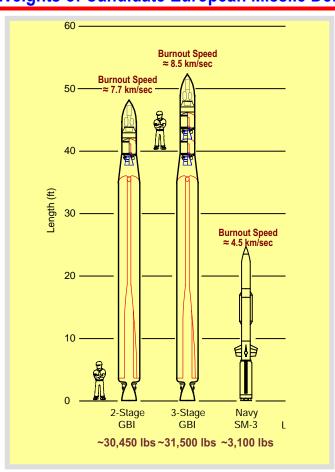
timelines for Europe. The silos that house the ground-based interceptors have substantially smaller dimensions (e.g., diameter and length) than those used for offensive missiles, such as the U.S. Minuteman III ICBM. Any modification would require extensive, lengthy, and costly changes that would be clearly visible to any observer.

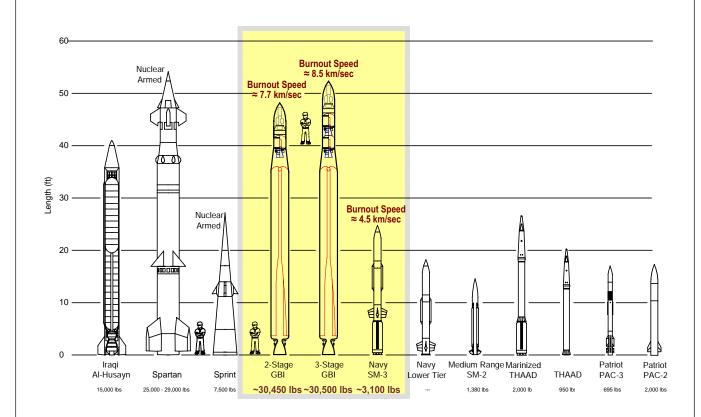
The ground-based interceptors are comprised of a booster vehicle and an exoatmospheric kill vehicle (EKV). Upon launch, the booster flies to a projected intercept point and releases the EKV which then uses on-board sensors (with assistance from ground-based assets) to acquire the target ballistic missile. The EKV performs final discrimination and steers itself to collide with the enemy warhead, destroying it by the sheer kinetic force of impact.

Future European Missile Site - Size Comparison

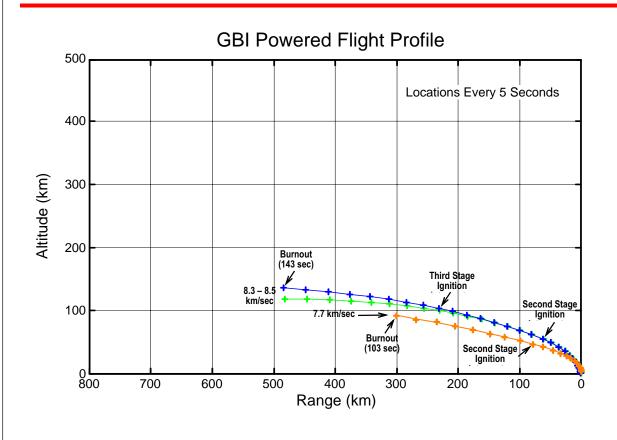


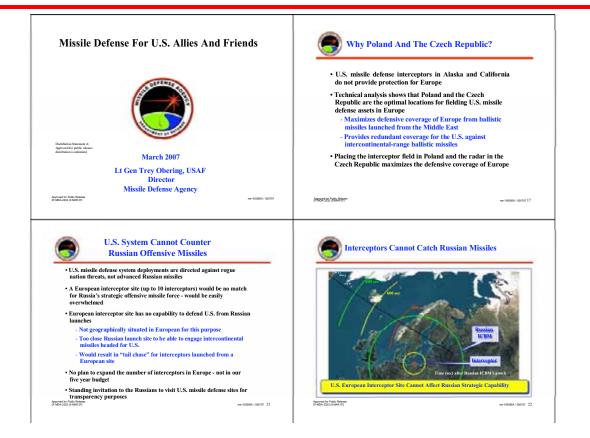
Relative Sizes and Weights of Candidate European Missile Defense Interceptors





Location of US 2-Stage and 3-Stage Ground-Based Interceptor Variants at 5 Second Intervals During Powered Flight





Concerns Expressed by the Russians



Engagement With Russia

- March 17, 2006 (Washington): Bilateral Defense Commission Meeting. Under Secretary of Defense Edelman and General Mazurkevich, Chief of the Main Directorate for International Cooperation
- April 3, 2006 (Moscow): Briefing of Russian officials by U.S. Erroassy (Moscow) on DOD
 decision to resume consultations with Poland regarding the site of U.S. missile defense assets
- November 3, 2006 (Moscow): Dr. Cambone, Lt Gen Obering, DASD Green, Russian Minister of Defense Ivanov, Chief of General Staff Gen-Col Paluevskiy, Gen-Col Mazurkevich
 - Russians did not acknowledge Iran emerging threat as a rationale for deployment of U.S. missile defense assets

Believe Russia is real target

Russians "portrayed" lack of understanding and confusion on technical aspects of a deployed missile program and proposed architecture. U.S. committed to following-up with technical discussions to Description counterparts.

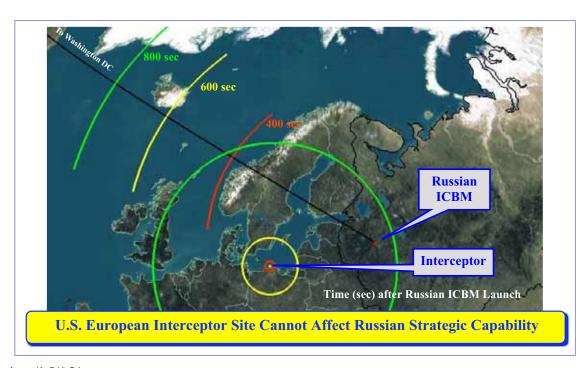
with technical discussions to Russian counterparts

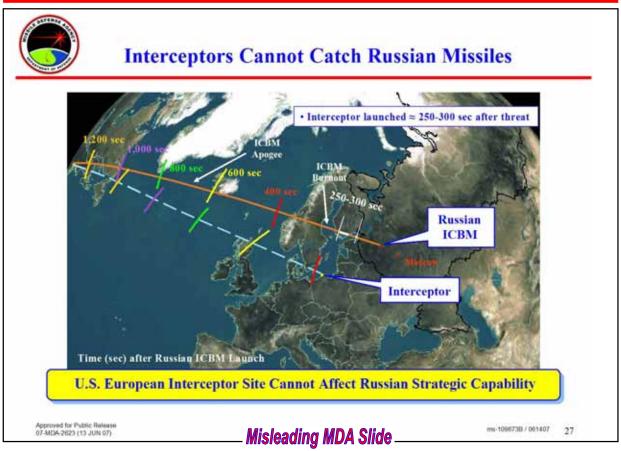
- <u>January 29, 2007 (Moscow)</u>: Strategic Dialogue Meeting. Under Secretaries Joseph and Deputy Foreign Minister Kislyak
 - Ambassador re-committed that U.S. will follow-up with technical briefings/explanations regarding U.S. missile deployment
- <u>February 9, 2007</u> (Seville): Secretary Gates and Minister of Defense Ivanov during NATO-Russia Council Ministerial meeting

U.S. Has Offered Future Event Establishing Technical Experts Meeting (Spring 2007)

Can the Europe-Based Missile Defense Engage Russian ICBMs and if so Why Does that Matter?

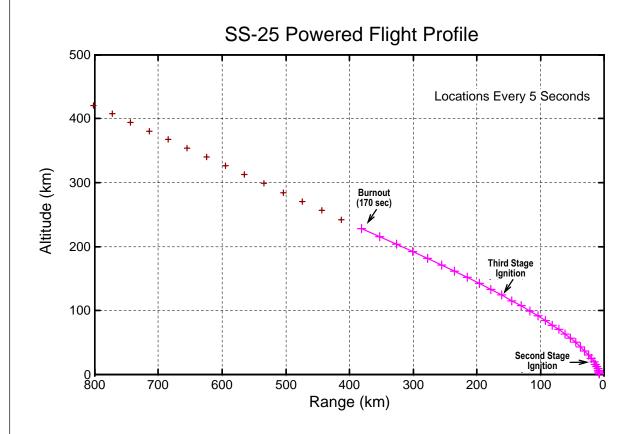






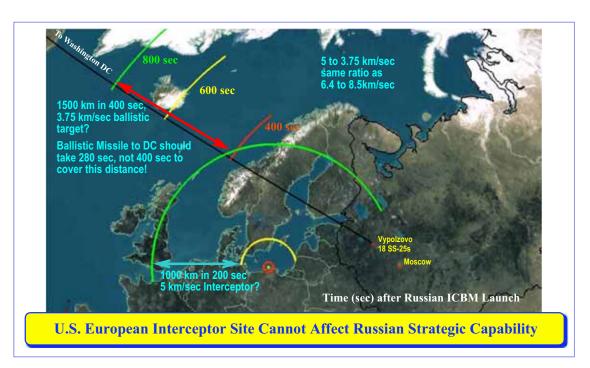






Obering's Slide – Distances and Speeds Wrong

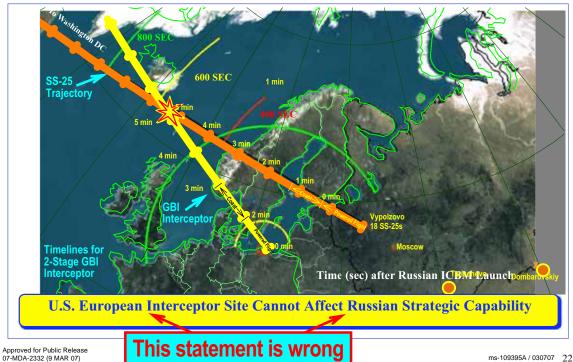
Interceptors Cannot Catch Russian Missiles



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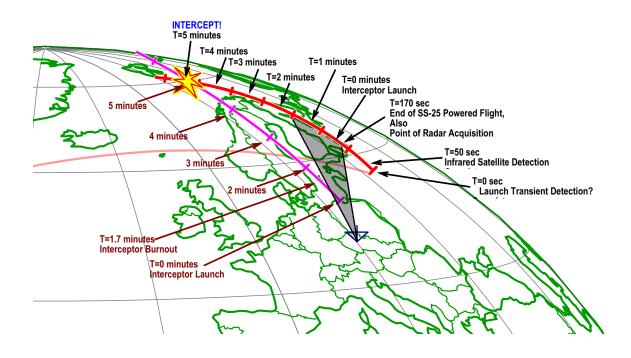
Actual Timelines for an Engagement

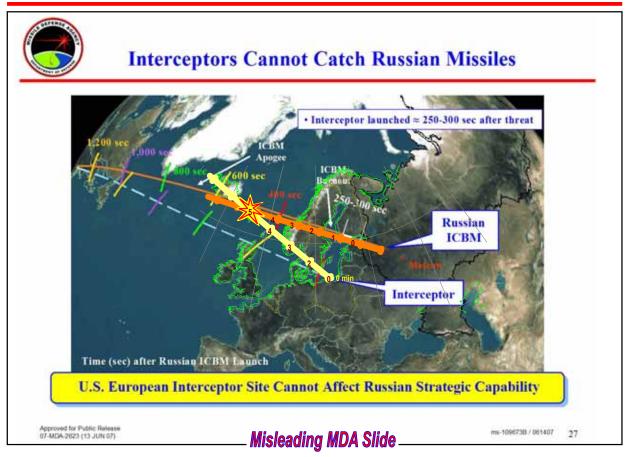
Interceptors Cannot Catch Russian Missiles



Engagement Event Timeline for Engagement of SS-25 from Vypolzovo with 2-Stage Missile Defense Interceptor

T=500 sec Interceptor and warhead Collide





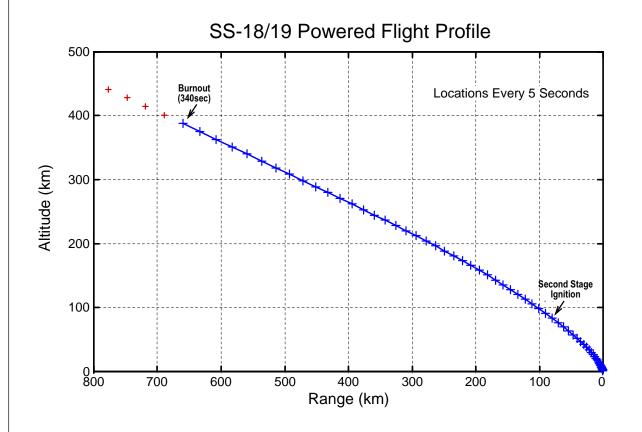


Misleading MDA Slide that Indicates Interceptors Could Never Intercept Russian ICBMs

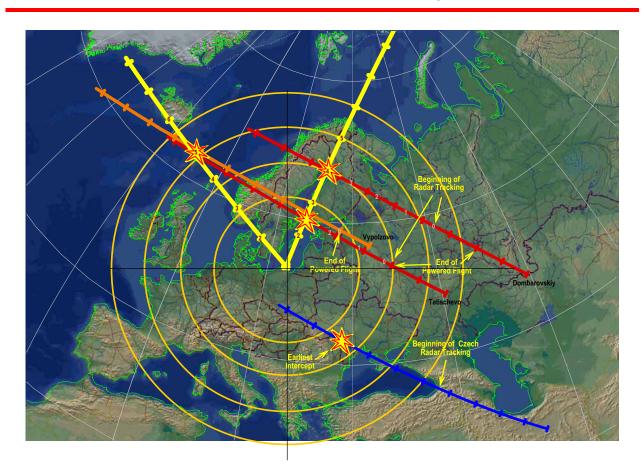
Slide Overstates the Speed of ICBMs by 15% and Understates the Speed of Interceptors by more than 30%

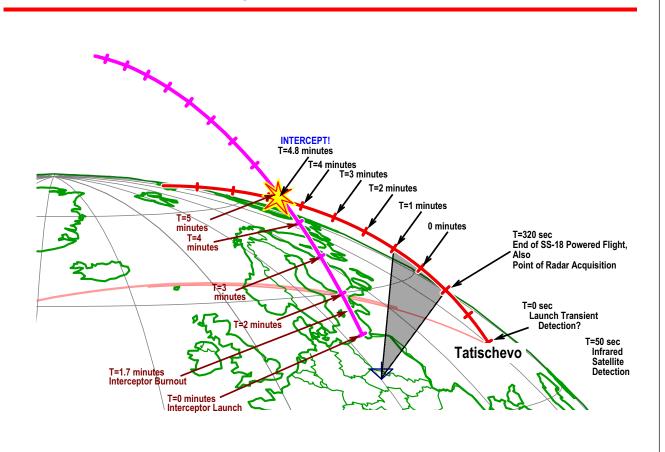
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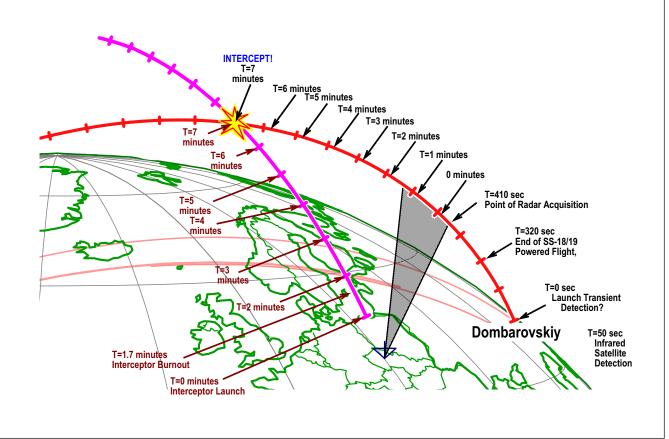


Timelines and Events for Intercepts with Two-Stage Variant of the GBI





Engagement Event Timeline for Engagement of SS-18/19 from Dombarovskiy with 2-Stage Missile Defense Interceptor



Major Foreign Policy Issue

US May Need to Explain to the Russians Why US Interceptors Cannot Engage Russian ICBMs



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Major Question

Major Issue

Is There Another Option to Base Interceptors so they Do Not Pose a Perceived Threat to Russian ICBMs?

An alternative way of asking this question is:

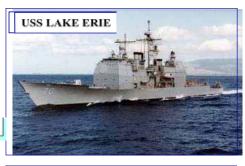
Could the Aegis System "Do the Job"?



Emergency Engagement Capability



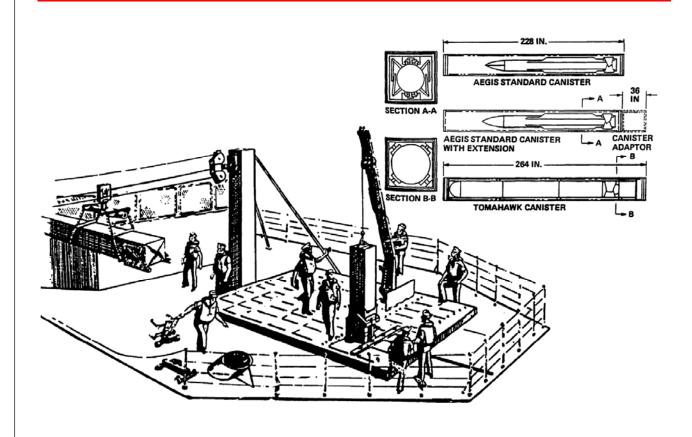




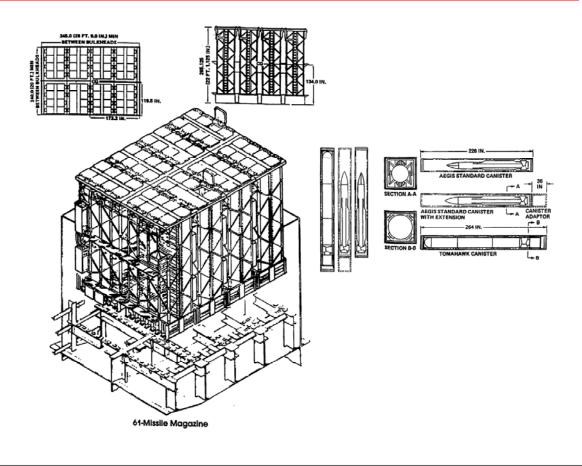




If Directed, Capability Available

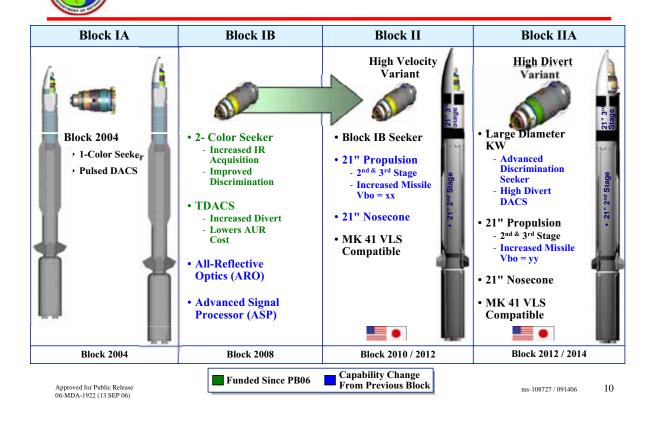


Basic Characteristics of the Vertical Launch System Components

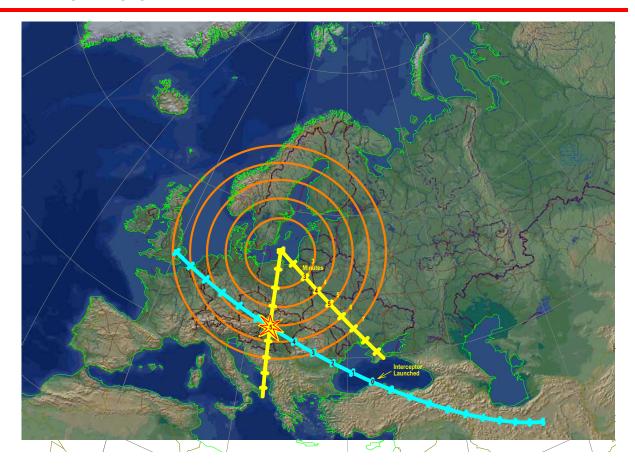


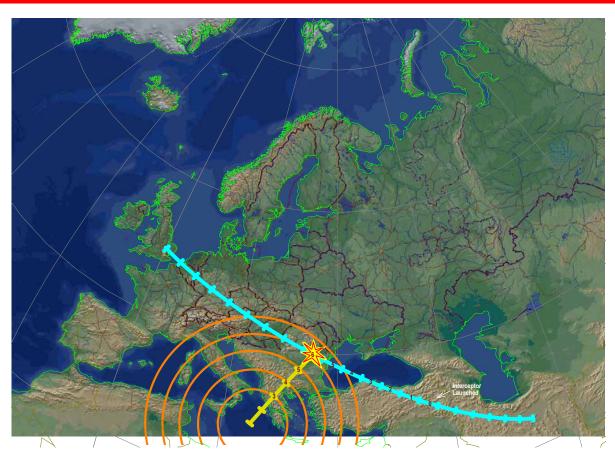
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Aegis BMD SM-3 Evolution Plan



Aegis Engagement Timelines for Defense of UK from the Baltic Sea





Conclusions with Regard to Interceptor Capabilities

Assuming systems work as MDA claims:

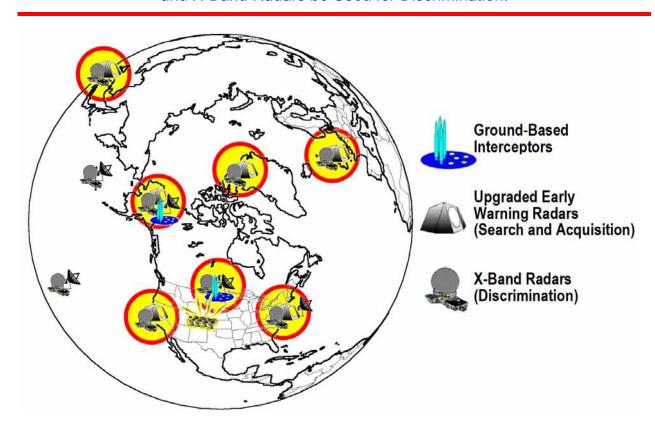
- The current proposed system could engage Russian ICBMs.
- Russian ICBMs will be observable by the EMR in the Czech Republic during their bussing operations, allowing for warheads and decoys to be tracked as they are deployed and providing potentially very valuable cueing information to missile defense units in the continental United States.
- There are many other alternative deployments that could easily meet the US stated objective of defending against postulated Iranian ICBMs.
- Aegis system interceptors are kinematically able to provide intercept coverage for a missile defense of Europe.
- Two-Stage Ground-Based Interceptors sited in Poland are kinematically able to provide intercept coverage for most, but not all, of Europe.
- The Missile Defense Agency has made statements that the Aegis can do the job, but there are as yet unresolved questions about whether the Aegis interceptor Kill Vehicle has adequate acquisition and divert capabilities to reliably find and maneuver to hit Intermediate Range Ballistic Missile (IRBM) warheads.
- There are also many unresolved engineering and technical issues associated with the Two and Three Stage Ground-Based Interceptors <u>and</u> the EKV.
- Thus, from the perspective of performance uncertainties, Aegis interceptors appear to be as viable a choice for policy makers as Ground-Based Interceptors.

Can the System "Do the Job"?

The Complementary Role of Acquisition and Tracking Radars in the Europeand Missile Defense

What Has Happened to the Acquisition Radars the US Missile Defense Program?

Original System Plans Required that the UEWRs be Used for Acquisition and X-Band Radars be Used for Discrimination!

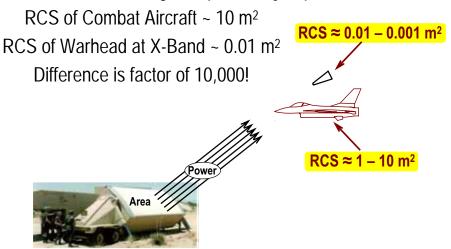


Basic Physics Determining Radar Capabilities

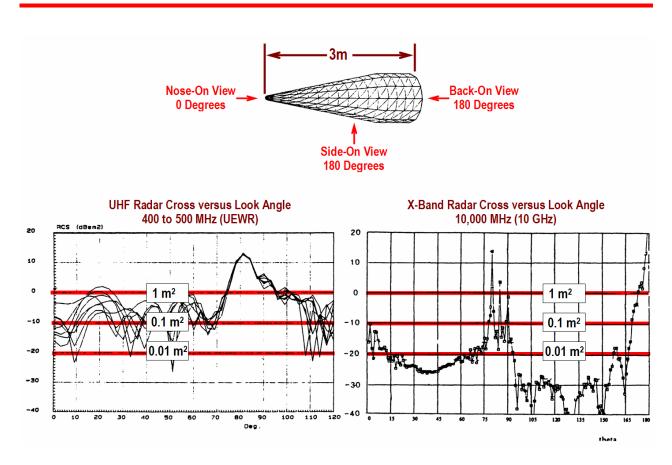
Capability of Radar Determined by Average Power Radiated from Antenna Size of the Antenna

Radar Cross Section (RCS) of Targets to be Engaged Radar Cross section Reduction

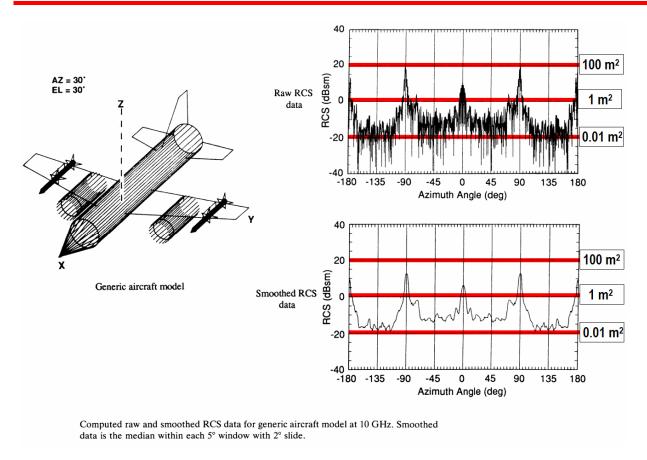
This is why Stealth can be effective against previously capable radars



Radar Cross Section of Large Round-Nose Warhead



Radar Cross Section of Generic Aircraft



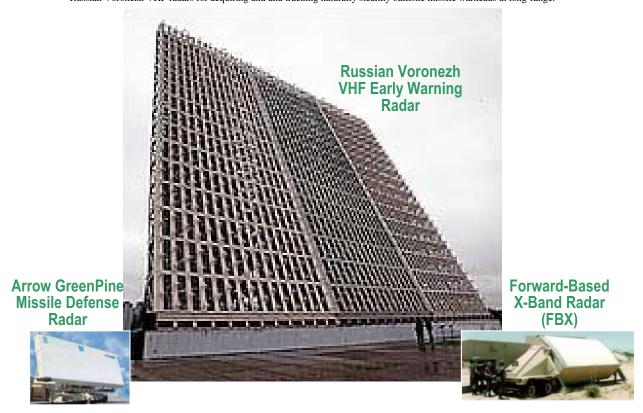
Phased Array Warning System (PAVE PAWS) UHF Radar Being Used in National Missile Defense System

The size of the FBX and its limited average power make it considerably less capably than large lower frequencies radars like the US UEWR and the Russian Voronezh VHF radars for acquiring and and tracking naturally stealthy ballistic missile warheads at long-range.

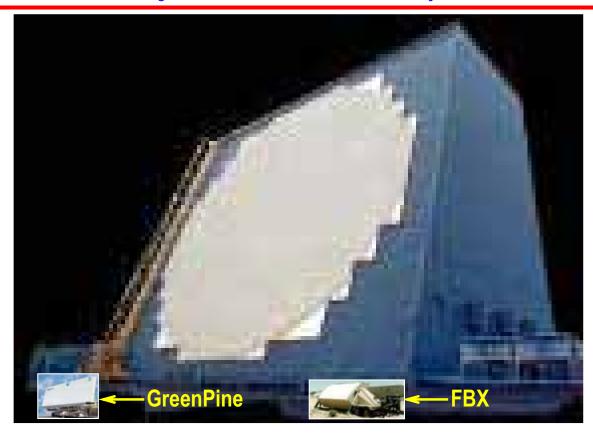


Russian Voronezh Class Third Generation Upgraded VHF Early Warning Page 29 of 35 that is Potentially Usable in a "Light" National Missile Defense System

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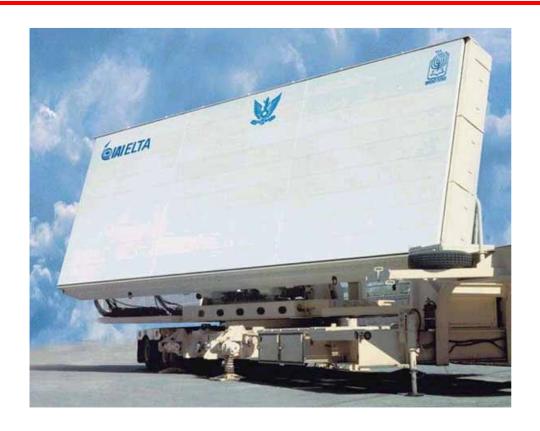
Cobra Dane L-Band Phased Array Intelligence Radar Being Used in National Missile Defense System





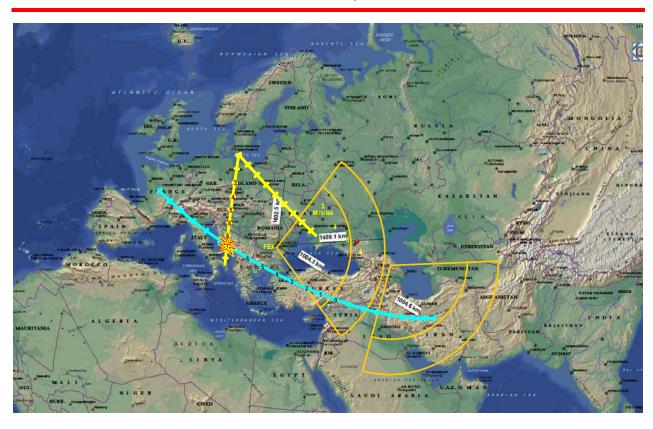
1000 km Range – Dwell Time =0.05 sec; Radar Cross Section = 0.01 m², S/N = 20, Area Searched at Distance = 4.3 km x 10.3 km 1500 km Range – Dwell Time =0.25 sec; Radar Cross Section = 0.01 m², S/N = 20, Area Searched at Distance = 6.5 km x 15.5 km

The Israeli Green Pine L-Band Missile Defense Radar (1 – 2 GHz) can Acquire and Track a 2 m² Target at 500 km and a 0.02 m² Target at 50 km

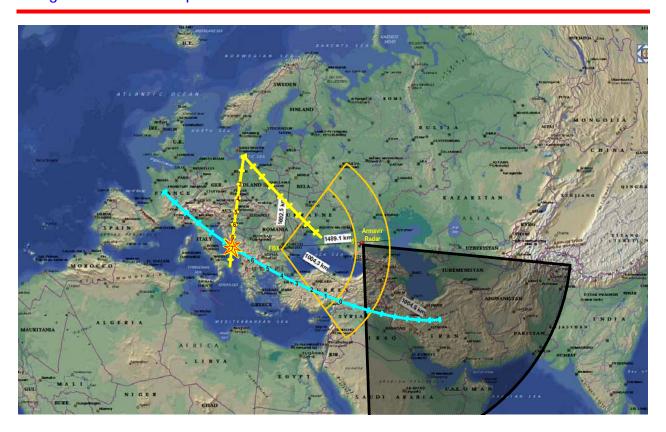




Practical Ranges at Which the FBX Radar can Acquire and Track a 0.01 m² Cone-Shaped Warhead



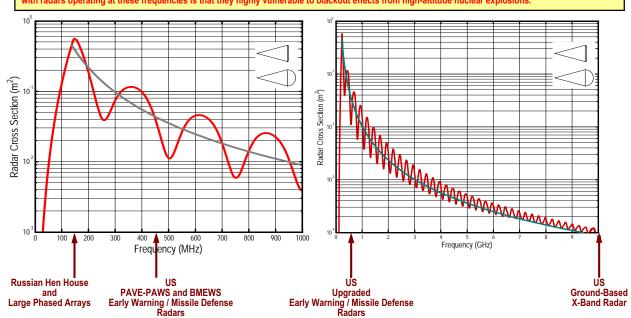
August Page 32 of 35 Against a Cone-Shaped Warhead with a 0.01 m² Radar Cross Section at X-Band



Operating Frequencies of Early Warning and Missile Defense Radars

Radar Cross Section of Rounded-Back Cones

The operating frequency of Russia's Early Warning Radars was chosen so that the radar reflectivity of warheads approaching Russia would be as large as possible, thereby making it easier for the radars to detect the approaching warheads at very long range. However, a serious drawback associated with radars operating at these frequencies is that they highly vulnerable to blackout effects from high-altitude nuclear explosions.



The Radar Support Requirements for the System are Woefully Inadequate

- The planned radar support for the European missile defense is woefully inadequate.

 X-band radars are fundamentally not suited for the role of acquisition and surveillance. Lower frequency radars operating at VHF, UHF, or L-Band are all far more suitable for this mission.
- The radar acquisition and surveillance problem could probably be solved by using multiple Forward-Based X-Band radars placed strategically between Iran and Europe.

These radars would probably only be able to acquire and track cone-shaped ballistic missile warheads at ranges less than 1000 km range. They would, however, be able to track the upper rocket stage that deploys the warhead at greater range. This may make it possible for the radar to cue on upper rocket stages as part of a process aimed at acquiring and tracking the warhead.

• The radar acquisition and surveillance problem could also be solved by using the Russian Voronezh Class VHF Early Warning Radar in Armavir, Russia.

Findings of the Technical Analysis (2 of 2)

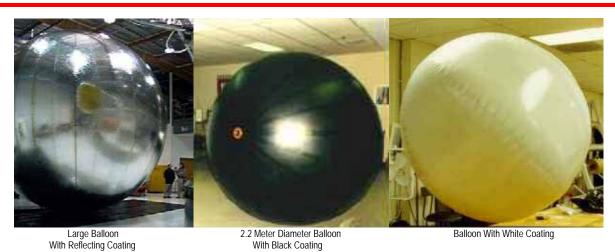
Assuming systems work as MDA claims:

- The current proposed system could engage Russian ICBMs.
- Russian ICBMs will be observed during their bussing operations, allowing for warheads and decoys to be tracked as they are deployed.
- There are many other alternative deployments that could easily meet the US stated objective of defending against postulated Iranian ICBMs.
- The Russian proposal to instead use radars (Russian and US) in Azerbaijan would allow the US to meet its stated objective of defending against postulated Iranian ICBMs without posing a threat to Russian ICBM forces.
- A system of equal or greater capability than the one currently being proposed by the US could use radars in Azerbaijan and/or Turkey, with interceptors placed in Albania, Bulgaria, Greece, or Turkey

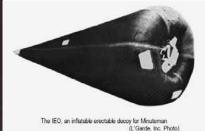
Fundamental Issue that Needs to Be Addressed

- Even if the funding for the Missile Defense Program were expanded to a substantial part of the entire Department of Defense budget, the resulting missile defense system would still be fundamentally unreliable, unless it can be demonstrated that the system can tell the differences between simple decoys and warheads.
- There is overwhelming evidence that exoatmospheric Missile Defenses are fundamentally vulnerable to exoatmospheric decoys. This near-certain vulnerability has far ranging implications for the viability of exoatmospheric missile defenses and the nation's security. Congress should consider investigating this serious and fundamental vulnerability.

Some Photos of Objects that Could Appear Like Warheads









Mk 12A Minuteman III Reentry Vehicle

Light Rigid Replica Decoy

Minuteman Inflatable Decoy

Minuteman Warhead

From a Purely Technical Perspective:

- There appears to be no credible <u>technical</u> reason that the stated US objective to defend against postulated future Iranian ICBMs could not be fulfilled by other types of deployment configurations.
- Recent statements made by the MDA, and numerous past technically
 misleading and inaccurate statements made by the MDA, would likely cause
 skepticism and suspicion among Russian military analysts who advise their
 political leadership.
- It is therefore understandable that Russian military analysts might suspect that US motivations are different from those that have been stated.

Soviet Capabilities for Strategic Nuclear Conflict, 1983-93 (NIE 11-3/8-83)

Soviet Capabilities for Strategic Nuclear Conflict, 1983-93 (NIE 11-3/8-83) Formerly Top Secret

Key Judgments of US Intelligence Community in 1983

We have major uncertainties about how well a Soviet ABM system would function, and the degree of protection that future ABM deployments would afford the USSR. Despite our uncertainties about its potential effectiveness, such a deployment would have an important effect on the perceptions, and perhaps the reality, of the US-Soviet strategic nuclear relationship.

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widespread Soviet defenses, even if US evaluations indicated they could be overcome by an attacking force, would complicate US attack planning and create major uncertainties about the potential effectiveness of a US strike.

